

ECS 455 Chapter 1

Introduction & Review

1.2 Fourier Transform and Communication System

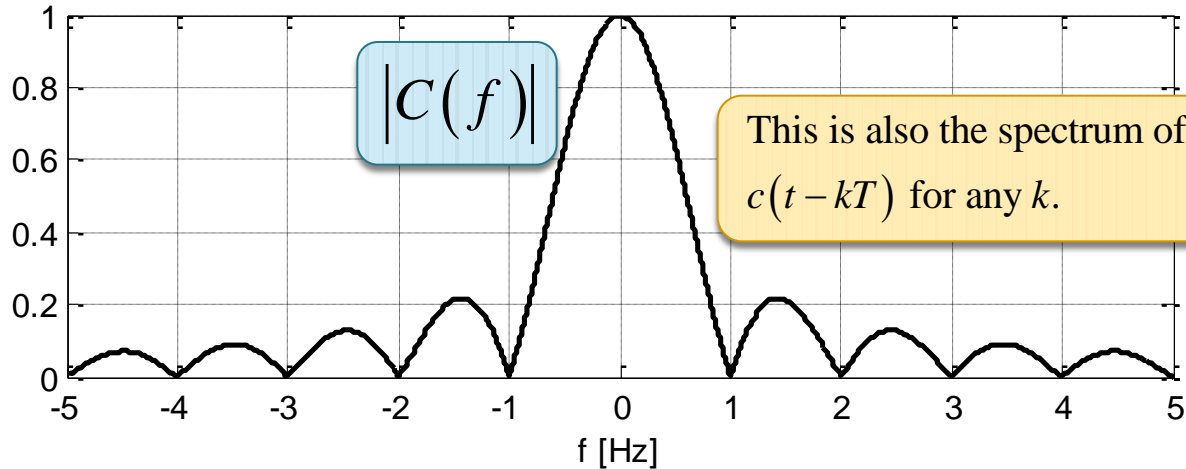
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BKD 3601-7

Wednesday 15:30-16:30

Friday 9:30-10:30

Spectrum of Digital Data (4/4) ($A=1, T=1$)

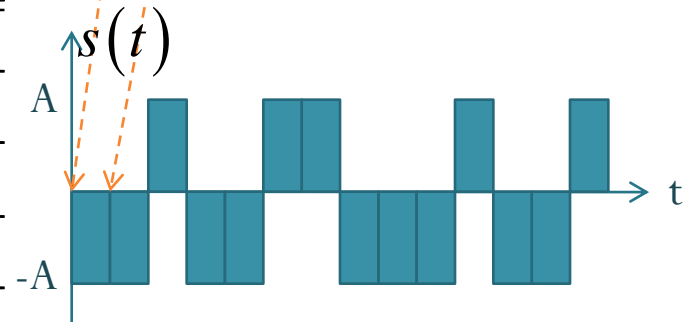
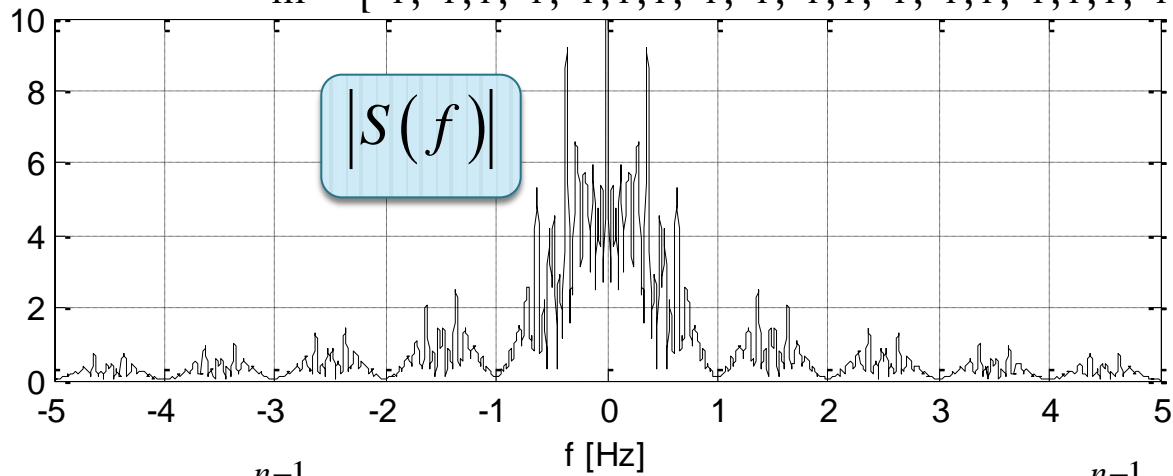


This is also the spectrum of $c(t - kT)$ for any k .

$$c(t) = A \times 1[t \in [0, T))$$



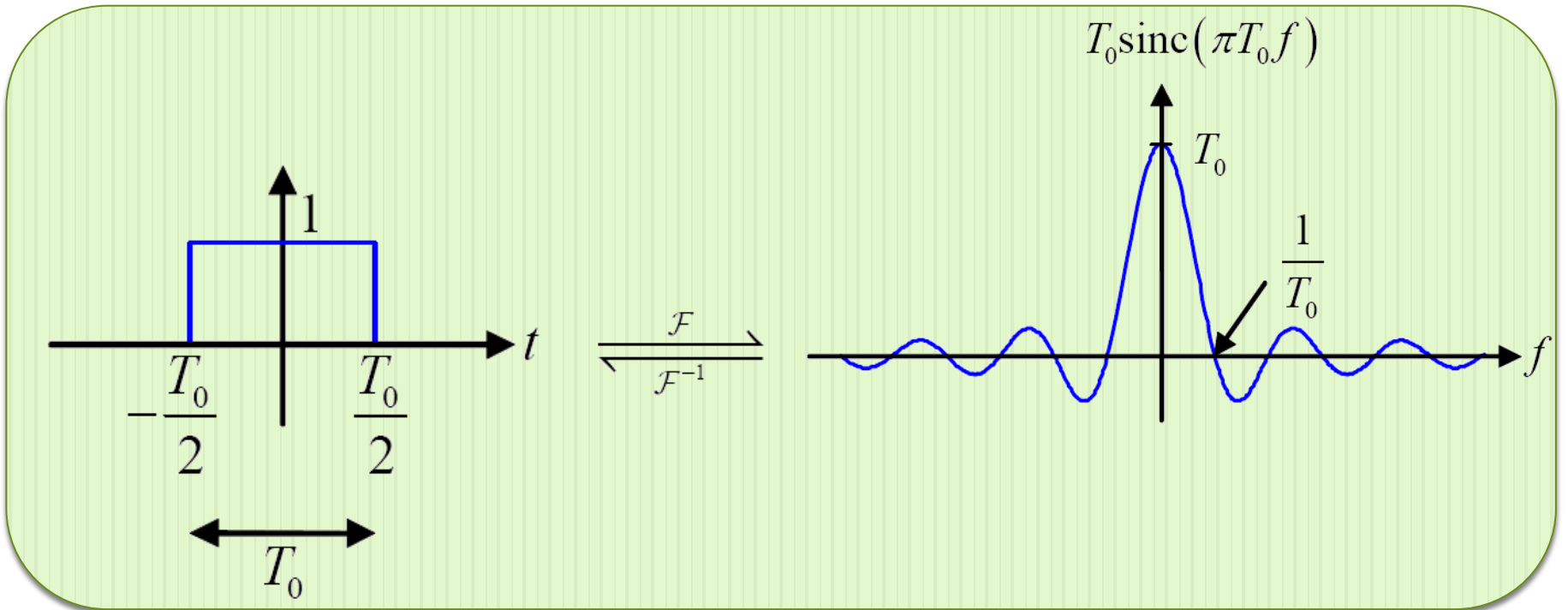
$m = [-1, -1, 1, -1, -1, 1, 1, -1, -1, -1, 1, -1, -1, 1, 1, -1, -1, -1, -1, 1, -1, -1, 1]$



$$s(t) = \sum_{k=0}^{n-1} m_k c(t - kT) \xrightarrow{\mathcal{F}} S(f) = C(f) \sum_{k=0}^{n-1} m_k e^{-j2\pi f k T}$$



Frequency-Domain Analysis



Shifting Properties: $g(t - t_0) \xLeftrightarrow{\mathcal{F}} e^{-j2\pi f t_0} G(f)$ $e^{j2\pi f_0 t} g(t) \xLeftrightarrow{\mathcal{F}} G(f - f_0)$

Modulation: $m(t) \cos(2\pi f_c t) \xLeftrightarrow{\mathcal{F}} \frac{1}{2} M(f - f_c) + \frac{1}{2} M(f + f_c)$

Important Formula

$$e^{j\theta} = \cos \theta + j \sin \theta$$

$$2 \cos^2 x = 1 + \cos(2x)$$

$$2 \sin^2 x = 1 - \cos(2x)$$

$$G(f) = \int_{-\infty}^{\infty} g(t) e^{-j2\pi ft} dt$$

$$\cos(2\pi f_c t + \theta) \xrightarrow{\mathcal{F}} \frac{1}{2} \delta(f - f_c) e^{j\theta} + \frac{1}{2} \delta(f + f_c) e^{-j\theta}$$

$$g(t - t_0) \xrightarrow{\mathcal{F}} e^{-j2\pi f t_0} G(f)$$

$$e^{j2\pi f_0 t} g(t) \xrightarrow{\mathcal{F}} G(f - f_0)$$

$$m(t) \cos(2\pi f_c t) \xrightarrow{\mathcal{F}} \frac{1}{2} M(f - f_c) + \frac{1}{2} M(f + f_c)$$

Instantaneous Frequency (Ex 1/6)

- Suppose you want the frequency of

$$\cos(2\pi ft)$$

to change as a function of time $f(t) = t^2$

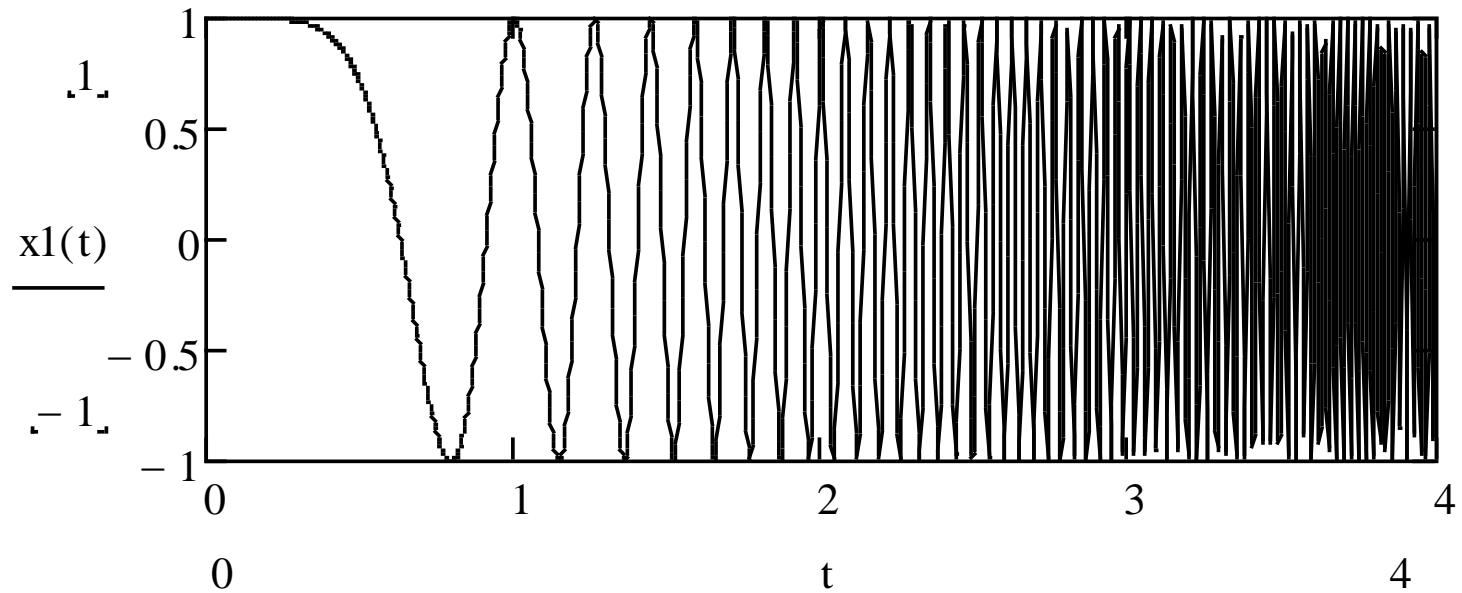
- Intuitively, the following substitution makes sense:

$$\cos(2\pi(t^2)t)$$

- But will it work?

Instantaneous Frequency (Ex 2/6)

$$x_1(t) = \cos(2\pi t^2 t)$$

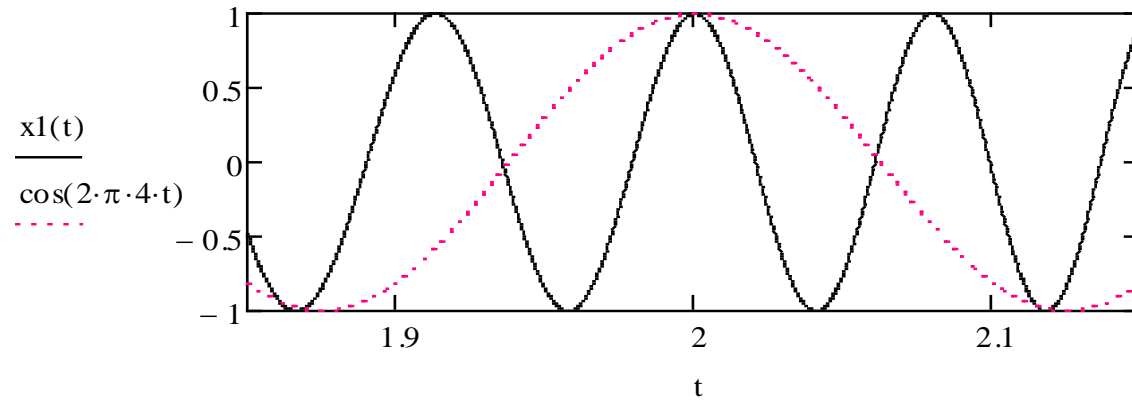
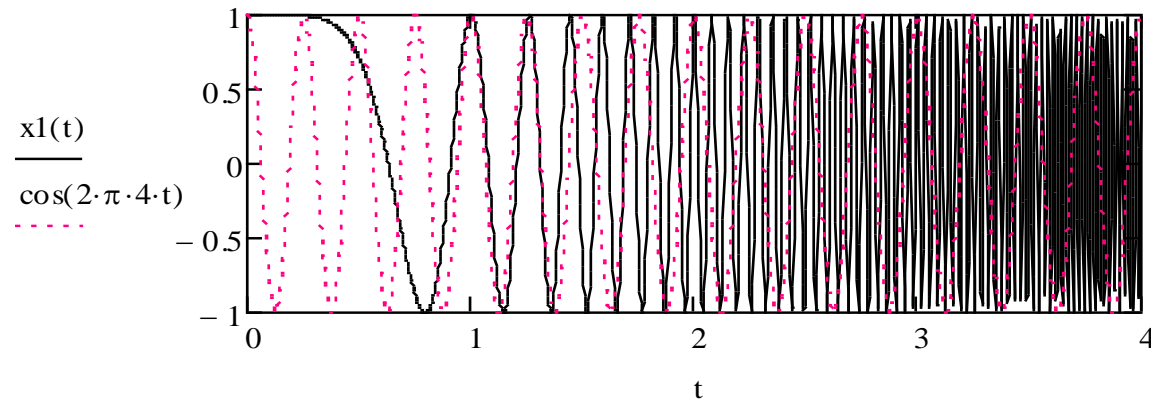


At $t = 2$, frequency = ?



Instantaneous Frequency (Ex 5/6)

$$x_1(t) = \cos(2\pi t^2 t)$$



At $t = 2$, $\cos(2\pi(t^2)t)$ oscillates much faster than 4Hz.



Instantaneous Frequency

of Generalized Sinusoids $x(t) = A \cos(\theta(t))$

$$f(t) = \frac{1}{2\pi} \theta'(t)$$



QAM

$$\begin{aligned} s(t) &= \overbrace{m_I(t)}^{\text{In-phase component}} \cos(\omega_c t) - \overbrace{m_Q(t)}^{\text{Quadrature component}} \sin(\omega_c t) \\ &= \text{Re} \left\{ \underbrace{\left(m_I(t) + jm_Q(t) \right)}_{m(t)} e^{j\omega_c t} \right\} \end{aligned}$$

- Complex baseband signal
- Complex envelope of $s(t)$
- Complex lowpass equivalent signal of $s(t)$

ECS 455 Chapter 1

Introduction & Review

1.3 Wireless Channel (Part 1)

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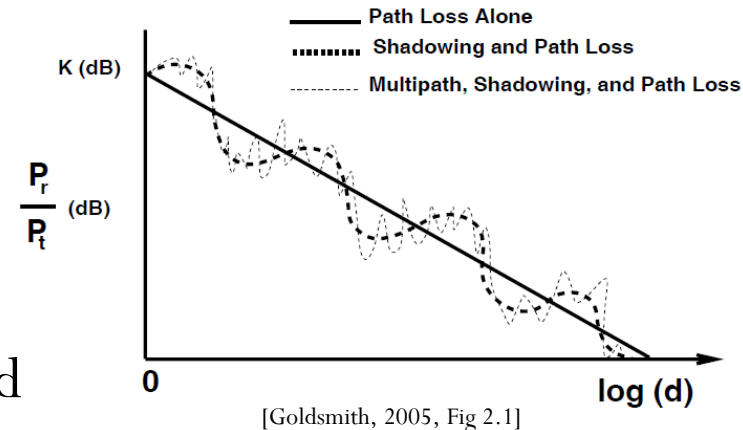
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Wireless Channel

- **Large-scale** propagation effects
 1. Path loss
 2. Shadowing
 - Typically frequency independent
- **Small-scale** propagation effects
 - Variation due to the constructive and destructive addition of **multipath** signal components.
 - Occur over very short distances, on the order of the signal wavelength.

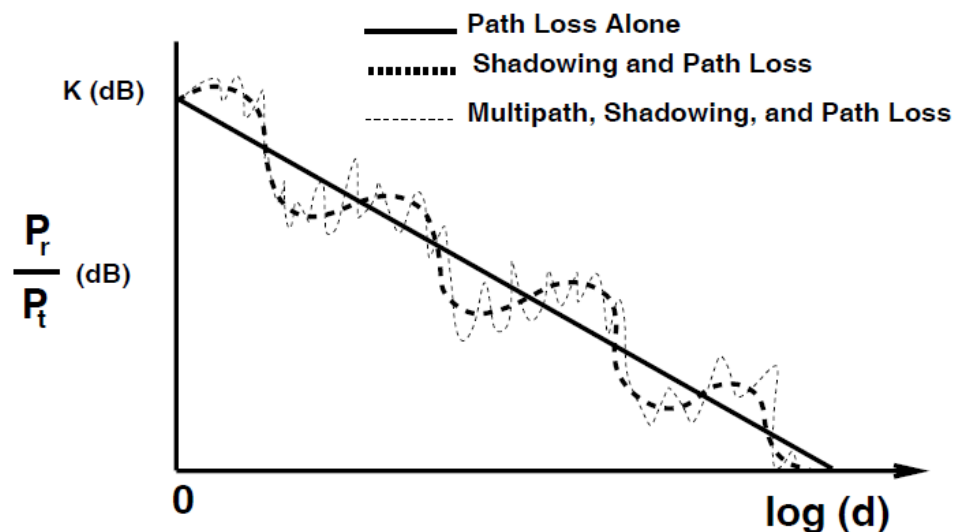


$$\lambda = \frac{c}{f} \leftarrow \approx 3 \times 10^8 \text{ [m/s]}$$

$$f = 3 \text{ GHz} \rightarrow \lambda = 0.1 \text{ m}$$

Path loss

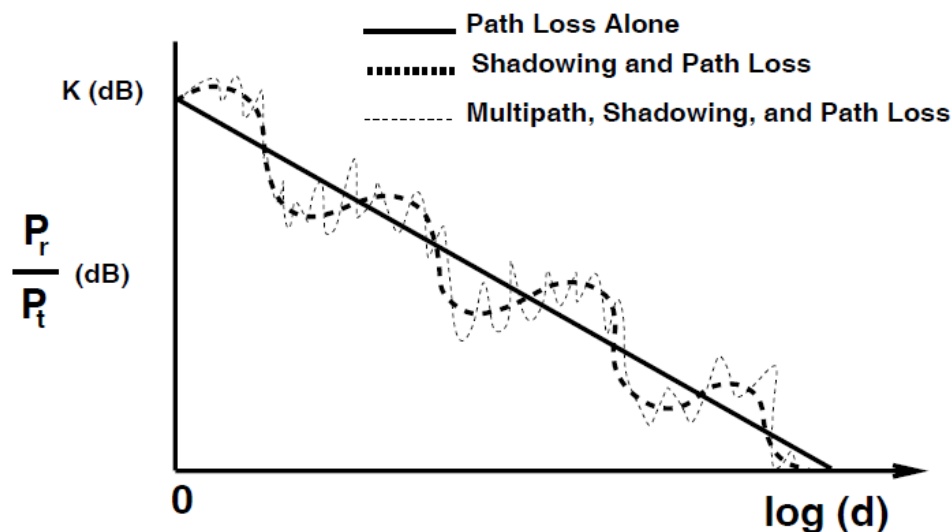
- Caused by
 - dissipation of the power radiated by the transmitter
 - effects of the propagation channel
- Models generally assume that it is the same at a given transmit-receive distance.
- Variation occurs over **large distances** (100-1000 m)



[Goldsmith, 2005, Fig 2.1]

Shadowing

- Caused by **obstacles** (**large objects** such as buildings and hills) between the transmitter and receiver.
 - Think: cloud blocking sunlight
- Attenuate signal power through absorption, reflection, scattering, and diffraction.
- Variation occurs over distances proportional to the length of the obstructing object (10-100 m in outdoor environments and less in indoor environments).



[Goldsmith, 2005, Fig 2.1]

Path Loss

$$P_L = \frac{\text{Transmitted power}}{\text{Average received power}} = \frac{P_t}{P_r}$$

Averaged over any random variations due to shadowing

- **Free-Space Path Loss:**

$$\frac{P_r}{P_t} \propto \frac{1}{d^2}$$

- P_r falls off inversely proportional to the square of the distance d between the Tx and Rx antennas.
- For other signal propagation models, P_r falls off more quickly relative to d .
- **Simplified** Path Loss Model:
$$\frac{P_r}{P_t} = K \left(\frac{d_0}{d} \right)^\gamma$$

Friss Equation

- One of the most fundamental equations in antenna theory

$$\frac{P_r}{P_t} = \left(\frac{\sqrt{G_{Tx} G_{Rx}} \lambda}{4\pi d} \right)^2 = \left(\frac{\sqrt{G_{Tx} G_{Rx}} c}{4\pi df} \right)^2$$

- More power is lost at higher frequencies.

2.4 GHz \longrightarrow 5 GHz \longrightarrow 60 GHz

6.4 dB loss

$$20 \log_{10} \frac{5}{2.4}$$

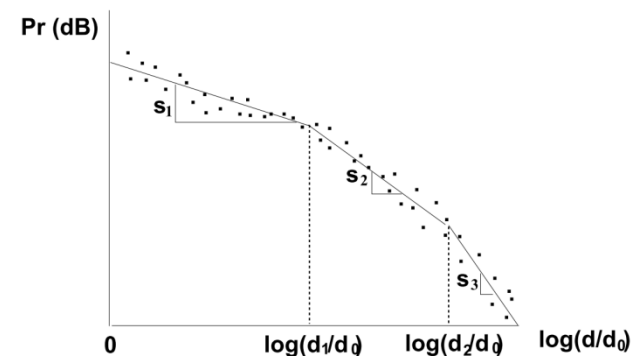
21.6 dB loss

$$20 \log_{10} \frac{60}{5}$$

- Some of these losses can be offset by reducing the maximum operating range. The remaining loss must be compensated for by increasing the antenna gain.

Path Loss Models

- Analytical models
 - Maxwell's equations
 - Ray tracing
- Empirical models
 - Okumura
 - Hata
 - COST 231
 - by EURO-COST (EUROpean Cooperative for Scientific and Technical research)
 - Piecewise Linear (Multi-Slope) Model
- Tradeoff: Simplified Path Loss Model



Indoor Attenuation Factors

- Building penetration loss: 8-20 dB (better if behind windows)
- Attenuation between floors
 - @ 900 MHz
 - 10-20 dB when the Tx and Rx are separated by a single floor
 - 6-10 dB per floor for the next three subsequent floors
 - A few dB per floor for more than four floors
 - Typically worse at higher frequency.
- Attenuation across floors

Partition Type	Partition Loss in dB
Cloth Partition	1.4
Double Plasterboard Wall	3.4
Foil Insulation	3.9
Concrete wall	13
Aluminum Siding	20.4
All Metal	26

[Goldsmith, 2005, Sec. 2.5.5]

Simplified Path Loss Model

$$\frac{P_r}{P_t} = K \left(\frac{d_0}{d} \right)^\gamma$$

Captures the essence of signal propagation without resorting to complicated path loss models, which are only approximations to the real channel anyway!

(Near-field has scattering phenomena.)

- K is a unitless constant which depends on the antenna characteristics and the average channel attenuation
 - $\left(\frac{\lambda}{4\pi d_0} \right)^2$ for free-space path gain at distance d_0 assuming omnidirectional antennas
- d_0 is a reference distance for the antenna far-field
 - Typically 1-10 m indoors and 10-100 m outdoors.
- γ is the **path loss exponent**.

Path Loss Exponent

- 2 in free-space model
- 4 in two-ray model
[Goldsmith, 2005, eq. 2.17]
- Cellular: 3.5 – 4.5
[Myung and Goodman, 2008 , p 17]
- Larger @ higher freq.
- Lower @ higher antenna heights

Environment	γ range
Urban macrocells	3.7-6.5
Urban microcells	2.7-3.5
Office Building (same floor)	1.6-3.5
Office Building (multiple floors)	2-6
Store	1.8-2.2
Factory	1.6-3.3
Home	3

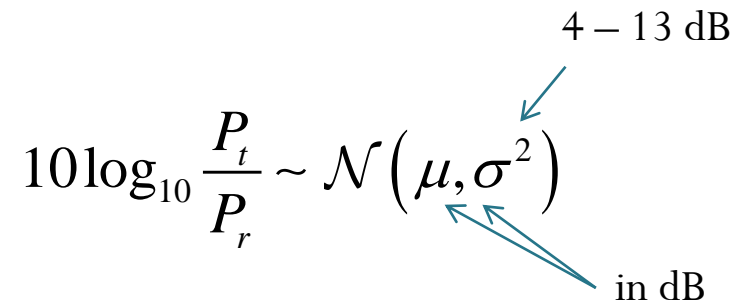
Log-normal shadowing

- Random variation due to blockage from objects in the signal path and changes in reflecting surfaces and scattering objects
→ random variations of the received power at a given distance

$$10\log_{10} \frac{P_t}{P_r} \sim \mathcal{N}(\mu, \sigma^2)$$

4 – 13 dB

in dB



- This model has been confirmed empirically to accurately model the variation in received power in both outdoor and indoor radio propagation environments.

Doppler Shift: 1D Move

- At distance $d = 0$, suppose we have

$$A_0 \cos(2\pi ft + \phi)$$

- At distance r , we have

$$A_r \cos\left(2\pi f\left(t - \frac{r}{c}\right) + \phi\right)$$

Time to travel a distance of r

- If moving, r becomes $r(t)$.
- If moving **away** at a constant velocity v , then $r(t) = r_0 + vt$.

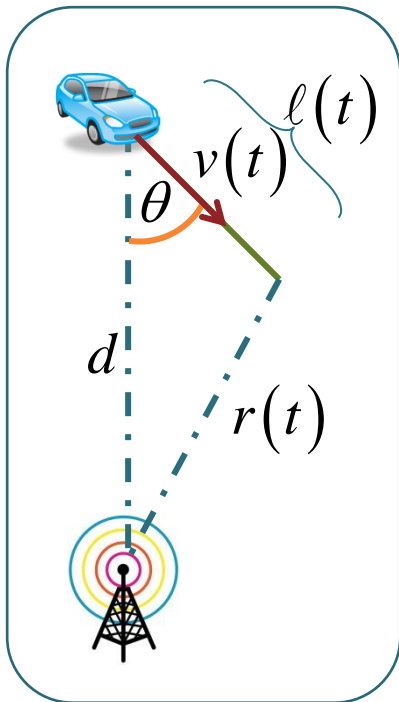
$$A_{r(t)} \cos\left(2\pi f\left(t - \frac{r_0 + vt}{c}\right) + \phi\right) = A_{r(t)} \cos\left(2\pi\left(f - f\frac{v}{c}\right)t - 2\pi f\frac{r_0}{c} + \phi\right)$$

Frequency shift

$$= \frac{v}{\lambda}$$

Doppler Shift: With angle

Rx speed = $v(t)$. At time t , cover distance $\ell(t) = \int_0^t v(\tau) d\tau$



$$r(t) = \sqrt{d^2 + \ell^2(t) - 2d\ell(t)\cos\theta}$$

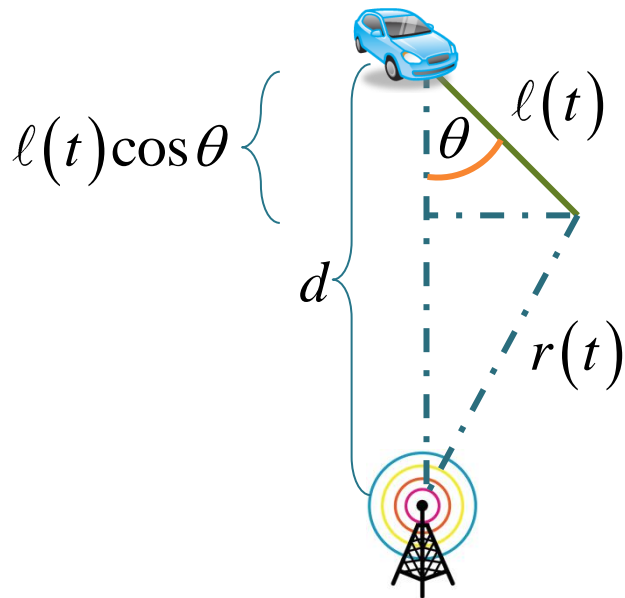
$$\frac{d}{dt} r(t) = \frac{2\ell(t) - 2d\cos\theta}{2\sqrt{d^2 + \ell^2(t) - 2d\ell(t)\cos\theta}} v(t)$$

$$\left. \frac{d}{dt} r(t) \right|_{t=0} = -\cos\theta v(0)$$

$$f_{\text{new}}(t) = f - \frac{1}{\lambda} \frac{d}{dt} r(t)$$

$$f_{\text{new}}(0) = f + \underbrace{\frac{1}{\lambda} \cos\theta v(0)}_{\text{Frequency shift}}$$

Doppler Shift: Approximation



$$r(t) \approx d - l(t)\cos\theta$$

$$\frac{d}{dt}r(t) \approx -v(t)\cos\theta$$

$$f_{\text{new}}(t) \approx f + \frac{v(t)\cos\theta}{\lambda}$$

$$\Delta f = \frac{v\cos\theta}{\lambda}$$

For typical vehicle speeds (75 Km/hr) and frequencies (around 1 GHz), it is on the order of 100 Hz

ECS 455 Chapter 1

Introduction & Review

1.4 Spectrum Allocation

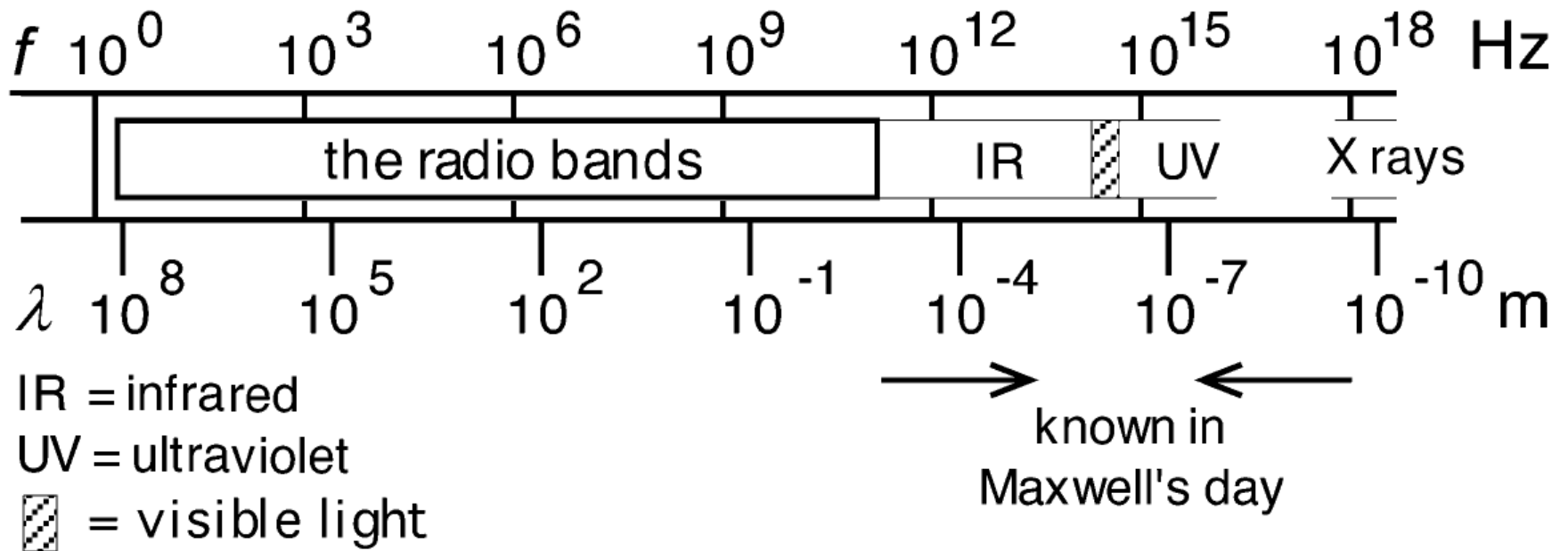
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Electromagnetic Spectrum



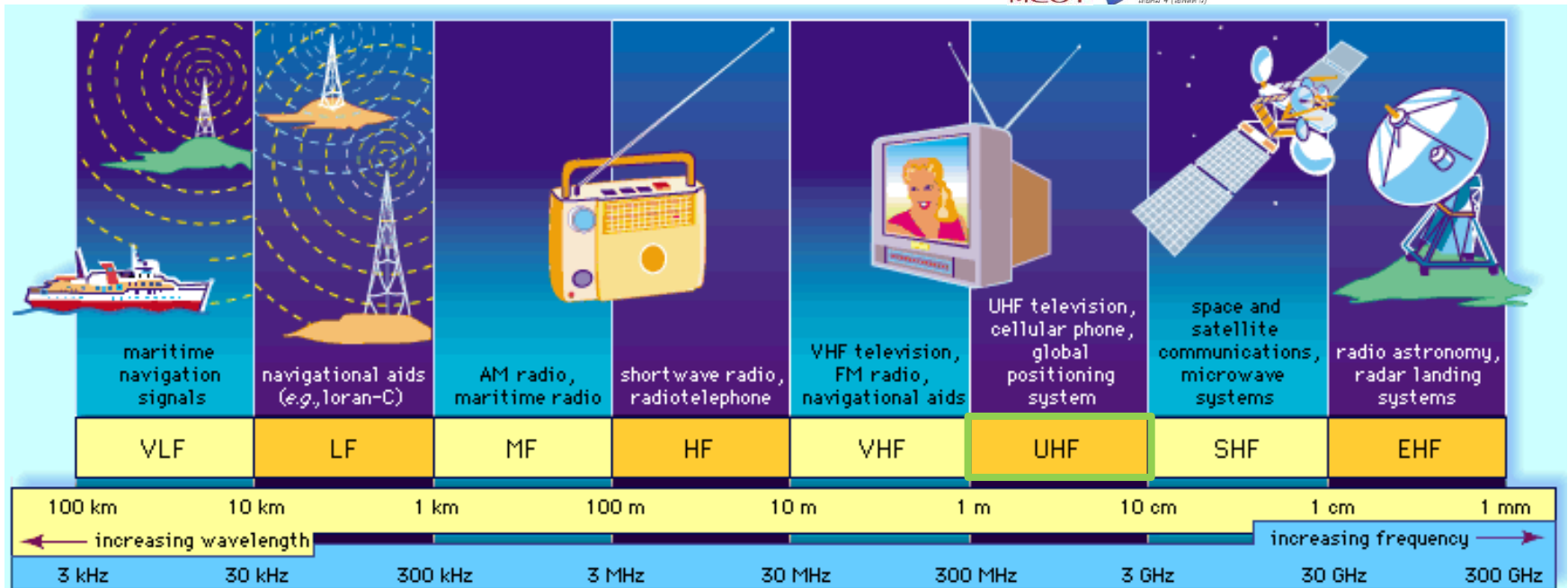
[Gosling, 1999, Fig 1.1]

$$c = f \lambda$$

3×10^8 m/s →
 Frequency →
 Wavelength →

Radio-frequency spectrum

- Commercially exploited bands



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$$c = f \lambda$$

3×10^8 m/s

Wavelength

Frequency

Note that the freq. bands are given in decades; the VHF band has 10 times as much frequency space as the HF band.

Cellular Bands

- All cellular phone networks worldwide use a portion of the radio frequency spectrum designated as **ultra high frequency (UHF)** (300 MHz to 3 GHz)
 - The UHF band is also used for television, Wi-Fi and Bluetooth transmission.
 - Due to historical reasons, radio frequencies used for cellular networks differ in the Americas, Europe, and Asia.
- Frequency bands recommended by ITU-R (in June 2003) for terrestrial Mobile telecommunication IMT-2000:
 - 806-960 MHz
 - 1710-2025 MHz
 - 2110-2200 MHz
 - 2500-2690 MHz

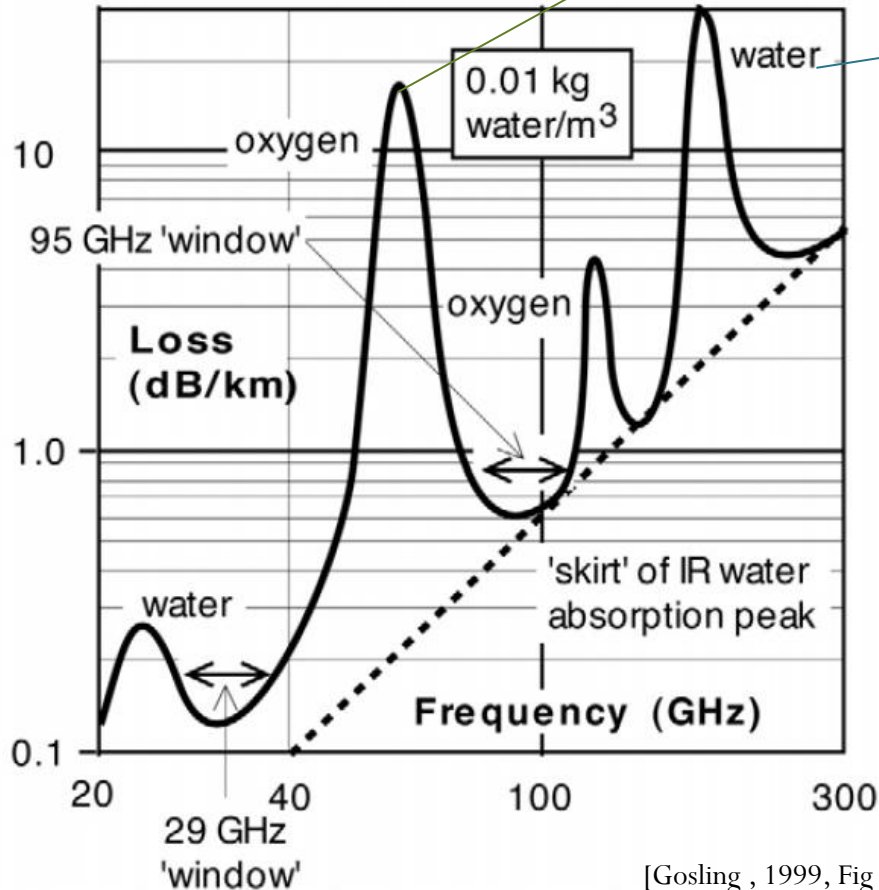
Lower limits on radio use

- **Efficiency** of an antenna in radiating radio energy is dependent on its length expressed as a fraction of **wavelength**.
 - Too low frequency = too large antenna
- Ex. The “Sanguine” submarine communication system
 - 30 Hz (10,000 km wavelength)
 - Designed (but never built) for the US Navy
 - Base antenna: 24 km square mesh of wires.
 - 10MW RF input
 - Radiate only 147W
 - All the remainder of the power dissipates as heat.



Upper limits on radio use

14 dB/km @ 60 GHz



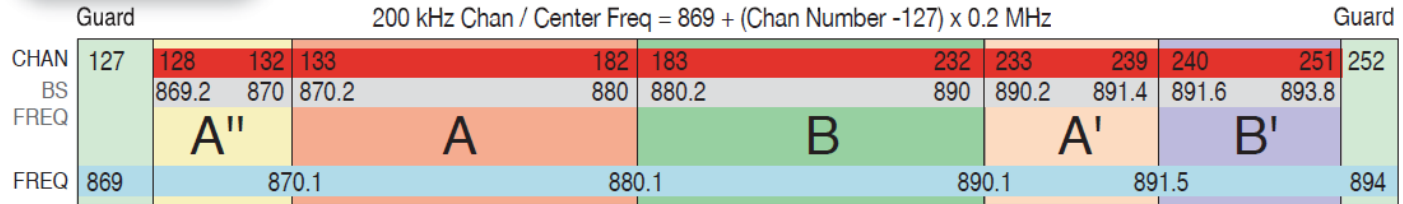
Make commu. very dependent on weather conditions

- Atmospheric absorption
- Quasi-optical propagation
 - Short wavelength = Deep shadows behind obscuring objects = Unreliable coverage.
- Increased absorption by building and structural materials

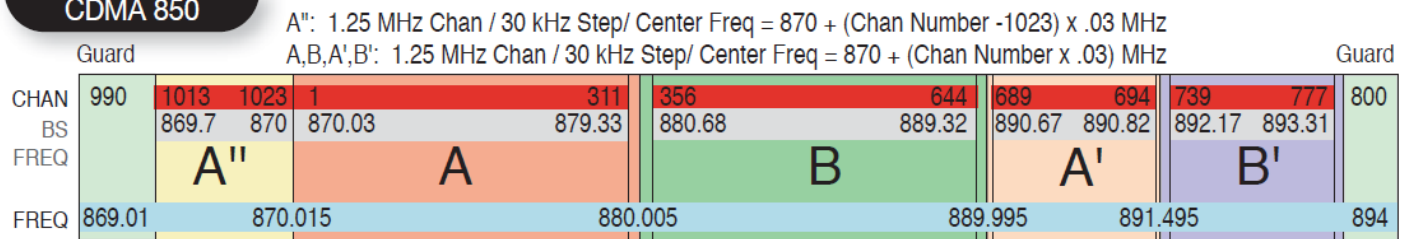
[Gosling , 1999, Fig 1.1]

Forward link (BS to MS) Frequencies and Channelization (1)

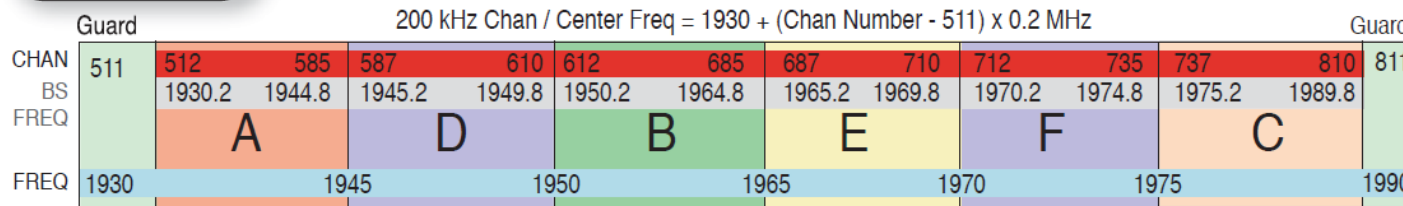
GSM 850



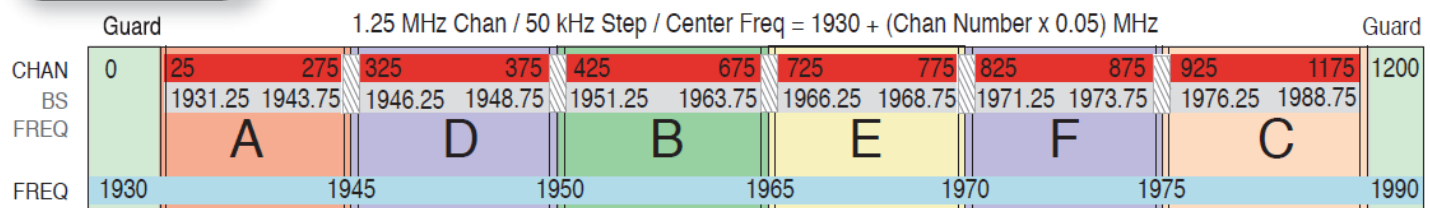
CDMA 850



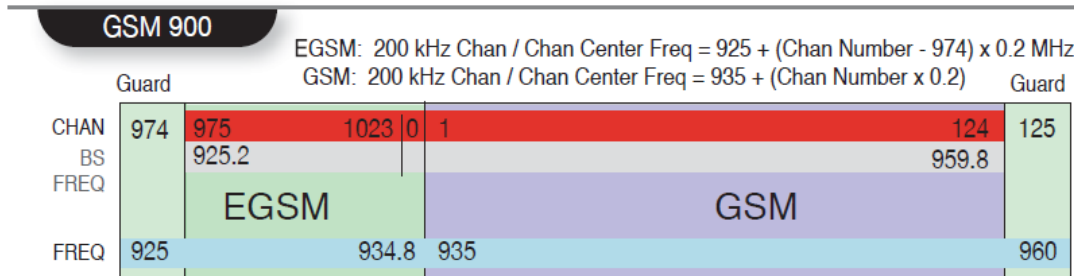
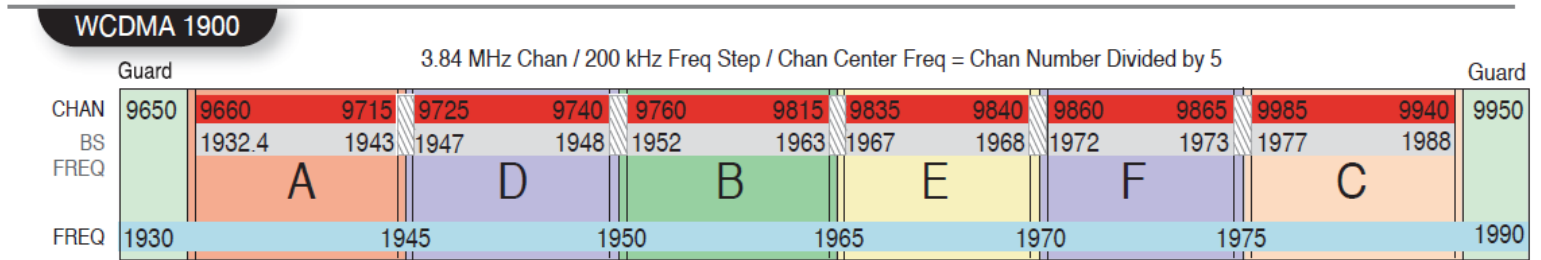
GSM 1900



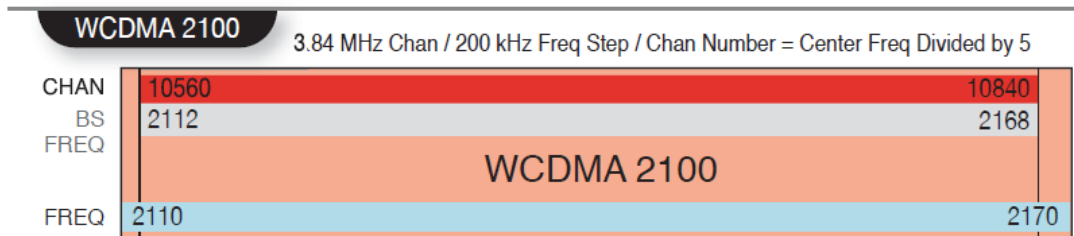
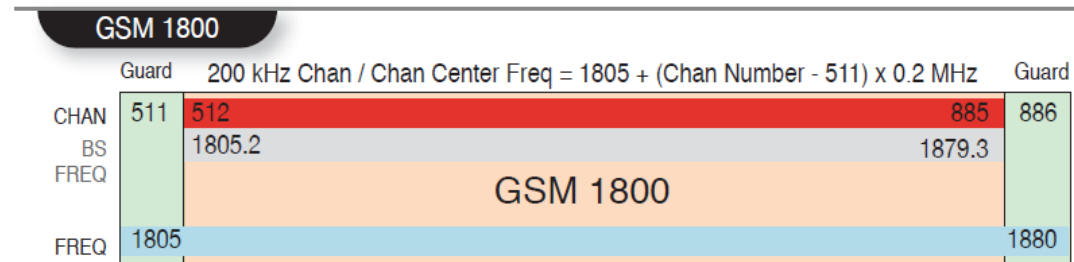
CDMA 1900



Forward link (BS to MS) Frequencies and Channelization (2)



- LEGEND:**
- Valid Center Channels
 - Valid Center Frequencies
 - Full Spectrum Block
 - Conditionally Valid



UNITED STATES FREQUENCY ALLOCATIONS THE RADIO SPECTRUM

RADIO SERVICES COLOR LEGEND

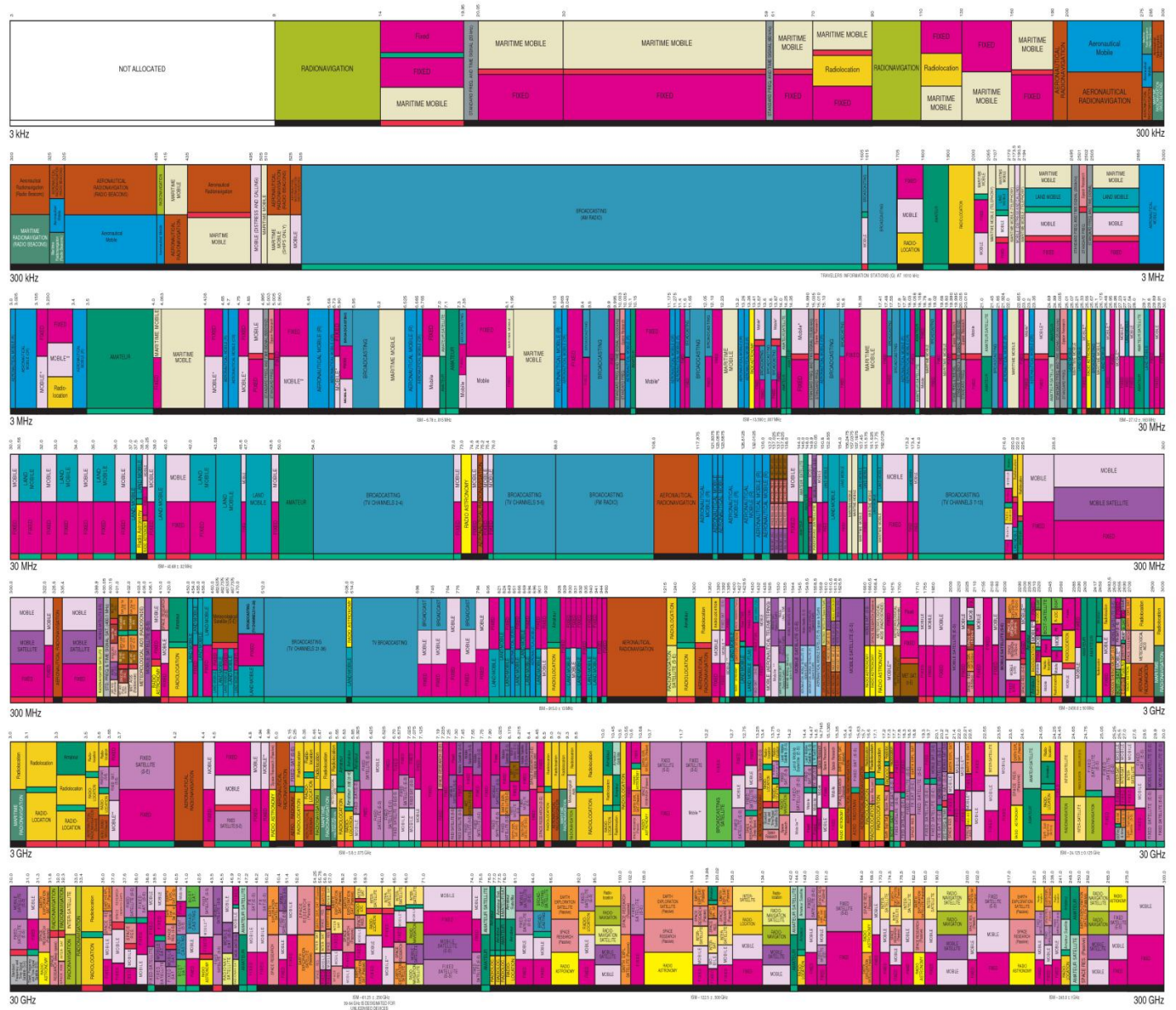
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|-------------------------------|---------------------------|----------------------------------------------|
| AERONAUTICAL MOBILE | INTER-SATELLITE | RADIO ASTRONOMY |
| AERONAUTICAL MOBILE SATELLITE | LAND MOBILE | RADIO DETERMINATION SATELLITE |
| AERONAUTICAL RADIOLOCATION | LAND MOBILE SATELLITE | RADIOLOCATION |
| AMATEUR | MARITIME MOBILE | RADIOLOCATION/SATELLITE |
| AMATEUR SATELLITE | MARITIME MOBILE SATELLITE | RADIO NAVIGATION |
| BROADCASTING | MARITIME RADIO NAVIGATION | RADIO NAVIGATION SATELLITE |
| BROADCASTING SATELLITE | METEOROLOGICAL AIDS | RADIO NAVIGATION SATELLITE |
| EARTH/EXPLORATION SATELLITE | METEOROLOGICAL SATELLITE | SPACE OPERATION |
| FIXED | MOBILE | STANDARD-FREQUENCY AND TIME SIGNAL |
| FIXED SATELLITE | MOBILE SATELLITE | STANDARD-FREQUENCY AND TIME SIGNAL SATELLITE |

ACTIVITY CODE

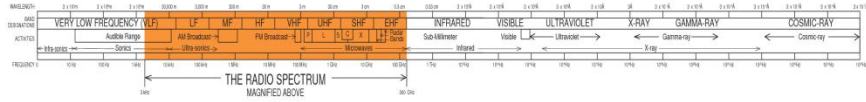
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|--------------------------|----------------------------------|
| GOVERNMENT EXCLUSIVE | GOVERNMENT/NON-GOVERNMENT SHARED |
| NON-GOVERNMENT EXCLUSIVE | |

ALLOCATION USAGE DESIGNATION

SERVICE	EXAMPLE	DESCRIPTION
Primary	FIXED	Capital Letters
Secondary	MOBILE	1st Capital with lower case letters



This chart is a graphic single-point-in-time portrayal of the Table of Frequency Allocations used by the FCC. As such, it does not constitute a contract of any kind, is for informational purposes only, and is subject to change without notice. For complete information, users should consult the current edition of the FCC's allocation tables.

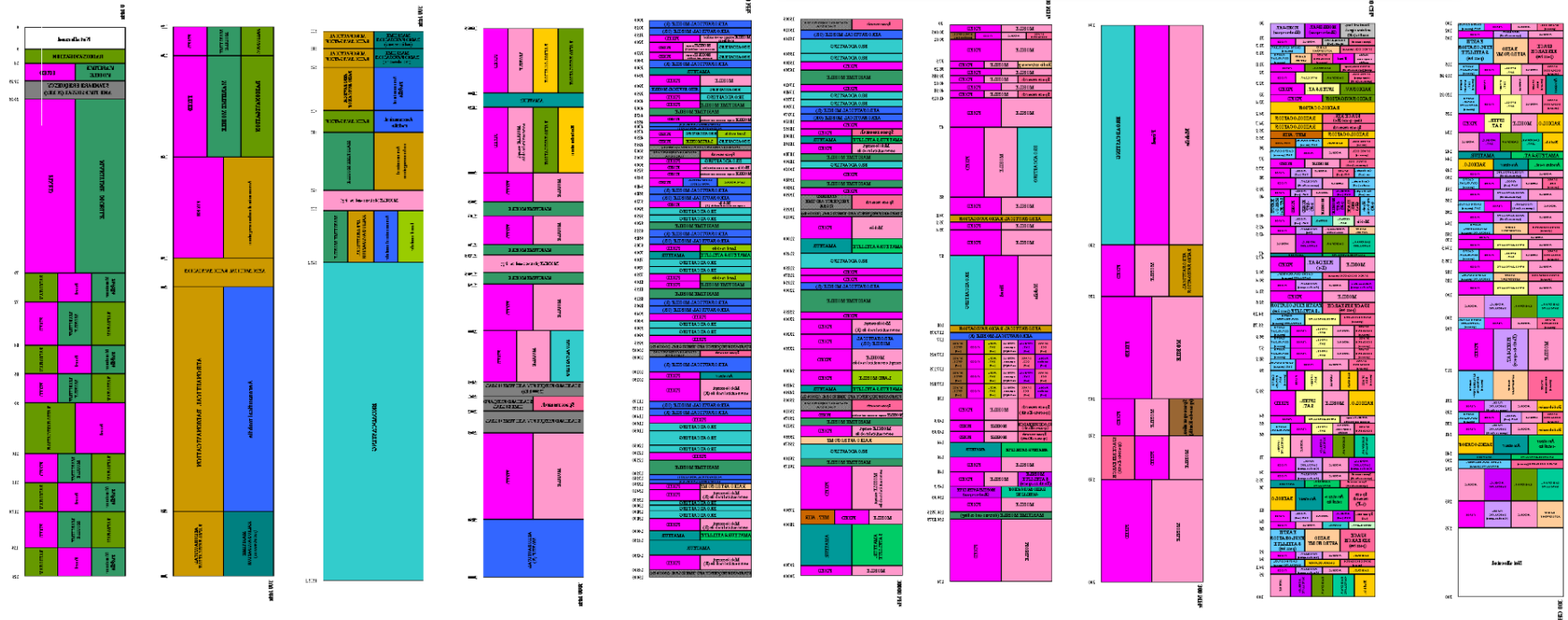


RELEASE NOTE: THE SPACES ALLOCATED TO THE SERVICES IN THE FREQUENCY ALLOCATIONS TABLES ARE SUBJECT TO CHANGE WITHOUT NOTICE AND ARE SUBJECT TO THE ACTUAL AMOUNT OF SPECTRUM OCCUPIED.

Thailand Freq. Allocations Chart

RADIO SERVICES COLOR LEGEND	
Aeronautical mobile	Meteorological aids
Aeronautical radionavigation	Meteorological-satellite
Amateur	Mobile
Amateur-satellite	Mobile-satellite
Broadcasting	Radio astronomy
Broadcasting-satellite	Radiodetermination-satellite
Earth exploration- satellite	Radiolocation

Fixed	Radionavigation
Fixed-satellite	Radionavigation- satellite
Inter-satellite	Space operation
Land mobile	Space research
Maritime mobile	Standard frequency and time signal
Maritime radionavigation	Standard frequency and time signal-satellite



Spectrum Allocation



- Spectral resource is limited.
- Most countries have government agencies responsible for allocating and controlling the use of the radio spectrum.
- Commercial spectral allocation is governed
 - globally by the International Telecommunications Union (**ITU**)
 - ITU Radiocommunication Sector (**ITU-R**) is responsible for radio communication.
 - in the U.S. by the Federal Communications Commission (**FCC**)
 - in Europe by the European Telecommunications Standards Institute (ETSI)
 - in Thailand by the National Telecommunications Commission (**NTC**; สำนักงานคณะกรรมการกิจการโทรคมนาคมแห่งชาติ; กทช.)
- Blocks of spectrum are now commonly assigned through **spectral auctions** to the highest bidder.



Interesting Book

- Spectrum Wars: The Policy and Technology Debate

“Designed to help you ensure that your company **wins the battle for the spectrum**, this text maps out the strategies required for structuring entry and operations in the spectrum. It offers advice on how to master the lobbying, technical, regulatory, legal and political tools needed for success.”



US licensed spectrum

AM Radio	535-1605 KHz
FM Radio	88-108 MHz
Broadcast TV (Channels 2-6)	54-88 MHz
Broadcast TV (Channels 7-13)	174-216 MHz
Broadcast TV (UHF)	470-806 MHz
3G Broadband Wireless	746-764 MHz, 776-794 MHz
3G Broadband Wireless	1.7-1.85 MHz, 2.5-2.69 MHz
1G and 2G Digital Cellular Phones	806-902 MHz
Personal Communications Service (2G Cell Phones)	1.85-1.99 GHz
Wireless Communications Service	2.305-2.32 GHz, 2.345-2.36 GHz
Satellite Digital Radio	2.32-2.325 GHz
Multichannel Multipoint Distribution Service (MMDS)	2.15-2.68 GHz
Digital Broadcast Satellite (Satellite TV)	12.2-12.7 GHz
Local Multipoint Distribution Service (LMDS)	27.5-29.5 GHz, 31-31.3 GHz
Fixed Wireless Services	38.6-40 GHz

Unlicensed bands

- In addition to spectral auctions, spectrum can be set aside in specific frequency bands that are **free to use** with a license according to a specific set of **etiquette rules**.
- The purpose of these unlicensed bands is to encourage innovation and low-cost implementation.
- Many extremely successful wireless systems operate in unlicensed bands, including **wireless LANs, Bluetooth, and cordless phones**.
- Major difficulty: Interference
 - If many unlicensed devices in the same band are used in close proximity, they generate much **interference** to each other, which can make the band unusable.

Unlicensed bands (2)

- Unlicensed spectrum is allocated by the governing body within a given country.
- Often countries try to match their frequency allocation for unlicensed use so that technology developed for that spectrum is compatible worldwide.
- The following table shows the unlicensed spectrum allocations in the U.S.

(ISM = Industrial, Scientific, and Medical)

900 MHz	ISM Band I (Cordless phones, 1G WLANs)	902-928 MHz
2.4 GHz	ISM Band II (Bluetooth, 802.11b WLANs)	2.4-2.4835 GHz
	<i>U-NII</i> / ISM Band III (Wireless PBX)	5.725-5.85 GHz
	NII Band I (Indoor systems, 802.11a WLANs)	5.15-5.25 GHz
	NII Band II (short outdoor and campus applications)	5.25-5.35 GHz
	NII Band III (long outdoor and point-to-point links)	5.725-5.825 GHz

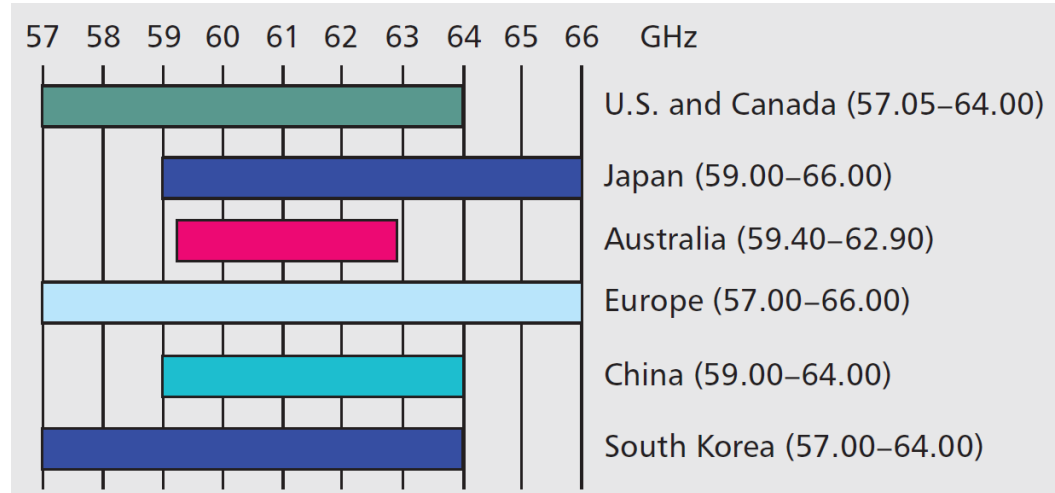
Licensed vs. Unlicensed Spectra

Licensed	Unlicensed
Typically nationwide. Over a period of a few years. From the spectrum regulatory agency.	For experimental systems and to aid development of new wireless technologies.
Bandwidth is very expensive.	Very cheap to transmit on.
No hard constraints on the power transmitted within the licensed spectrum but the power is expected to decay rapidly outside.	There is a maximum power constraint over the entire spectrum.
Provide immunity from any kind of interference outside of the system itself.	Have to deal with interference.

Unlicensed 60 GHz Frequency Band

- A lot of bandwidth available

Worldwide
spectrum
availability



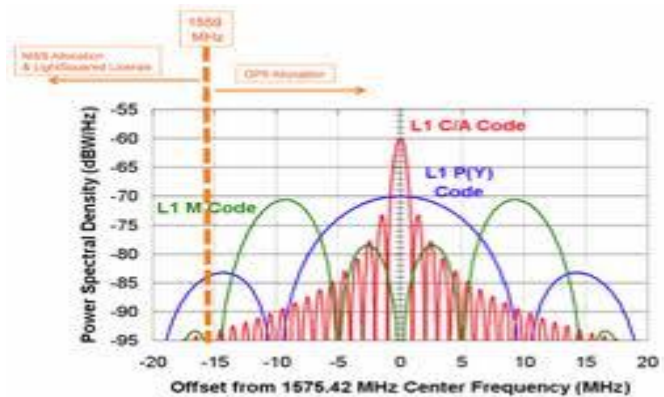
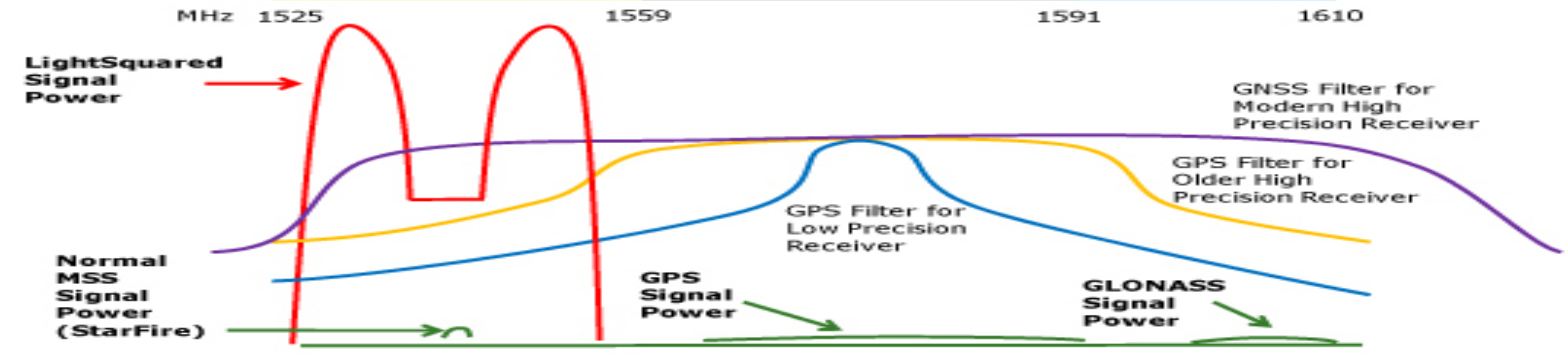
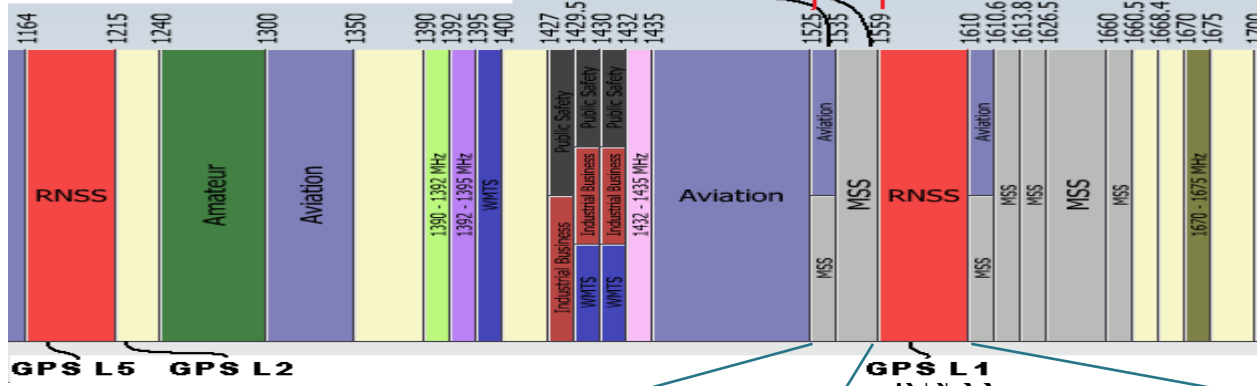
- Even for the smallest allocation, there is more than 3 GHz of bandwidth available, and most regions allow use of at least **7 GHz**.
 - In comparison, the 5 GHz unlicensed band has about 500 MHz of total usable bandwidth.
 - The 2.4 GHz band has less than 85 MHz of bandwidth in most regions.

News: LightSquared vs. GPS industry

- The FCC recently (Jan 2011) granted a conditional waiver to **LightSquared** allowing the expansion of terrestrial use (for launching a new **LTE** network) of the **mobile satellite spectrum (MSS)** immediately neighboring that of the **GPS**
 - As its name suggested, MSS has been reserved for satellite services
 - Earlier, FCC permitted “ancillary” terrestrial uses intended to “fill in” locations where satellite coverage was problematic.
 - The new order allows a high powered nationwide terrestrial broadband network.
- Extremely high-powered ground-based transmissions could potentially cause severe interference to GPS receivers.
- LightSquared bought the spectrum right next door to GPS cheaply, hoping to change the rules and make the spectrum more valuable.

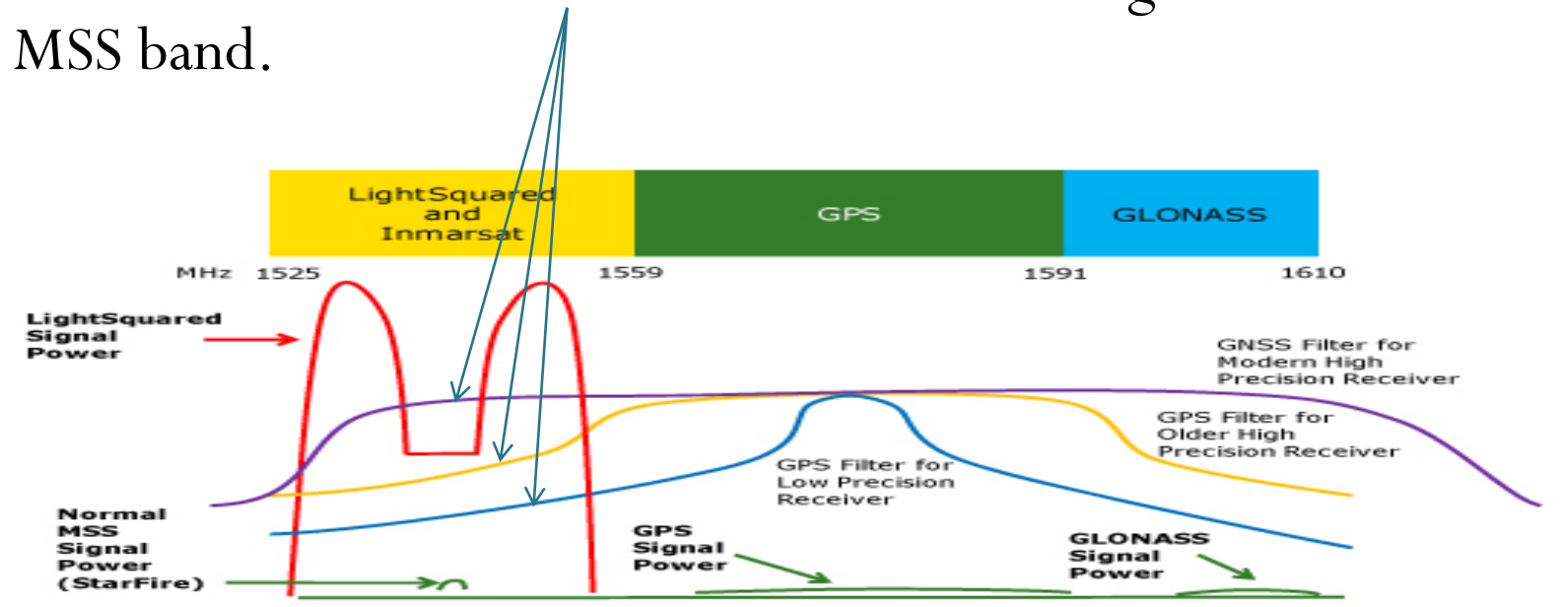
LSQ Original 1550-1555MHz
 LSQ Modified 1526-1536MHz

OmniSTAR, Starfire 1525-1559MHz



Completely Separated?

- GPS receivers have filters that do not block signals from the MSS band.



- These filters has enabled both low-cost and high-precision GPS receivers.
- Assumption: Signals in MSS band were low-power.

Spectrum Allocation (Final Words)

- Spectrum is a scarce resource.
- Spectrum is allocated in “chunks” in **frequency** domain.
 - “Chunks” are licensed to (cellular/wireless) operators.
- Within a single cellular operator, the chunk is further divided into many **channels**.
 - Each channel has its own band of frequency.
- Mobile networks based on different standards may use the same “frequency chunk”.
 - For example, AMPS, D-AMPS, N-AMPS and IS-95 all use the 800 MHz “frequency chunk”.
 - This is achieved by the use of **different channels**.